



Docket No.: 09469/010001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Chui-Shan Teresa Lam et al.

Application No.: 10/037,153

Confirmation No.: 5605

Filed: December 21, 2001

Art Unit: 3621

For: METHOD AND SYSTEM FOR  
INITIALIZING A KEY MANAGEMENT  
SYSTEM

Examiner: C. L. Hewitt

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement, pursuant to 37 CFR 1.114(c), accompanies the Request for Continued Examination (37 CFR 1.114) submitted herewith.

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and

Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).


In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

In accordance with 37 CFR §1.197(b)(4), the Applicant believes that no fee is required with the filing of this Information Disclosure Statement as the Information Disclosure Statement accompanies a Request for Continued Examination under 37 CFR §1.114. However, if a fee is required the Director is hereby authorized to charge any such fees which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0591, under Order No. 09469/010001.

Dated: June 29, 2006

Respectfully submitted,

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ALTERNATIVE TO PTO/SB/08a/b (07-05)

<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/037,153-Conf. #5605
				Filing Date	December 21, 2001
				First Named Inventor	Chui-Shan Teresa Lam
				Art Unit	3621
Examiner Name	C. L. Hewitt				
Attorney Docket Number	09469/010001				
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA*	US-6,792,424-B1	09-14-2004	International Business Machines Corporation	
	AB*	US-6,370,250-B1	04-09-2002	International Business Machines Corporation	
	AC*	US-6,351,812-B1	02-26-2002	AT&T Corp	
	AD*	US-6,157,723	12-05-2000	Motorola, Inc.	
	AE*	US-6,047,374	04-04-2000	Sony Corporation	
	AF*	US-6,035,402	03-07-2000	GTE CyberTrust Solutions Incorporated	
	AG*	US-6,009,177	12-28-1999	Certco LLC	
	AH*	US-5,373,561	12-13-1994	Bell Communications Research, Inc.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	WO-01/17160-A1	03-08-2001	Motorola Inc.		✓
	BB	WO-00/19652	04-06-2000	POOVENDRAN, Raadhakrishnan, et al.		✓

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	International Search Report dated 1/19/2004 (9 pages)	
	CB	T. GREANIER; "Discover the Secrets of the Java Serialization SPI"; Articles Index No. XP-002262884; July 2000 (6 pages).	
	CC	L. OPYRCHAL, et al.; "Efficient Object Serialization in Java"; Publication No. XP-002242373; Department of Electrical Engineering and Computer Science, University of Michigan; May 1999; 6 pages.	
	CD	Z. CANELA, et al.; "Integrating Web-Based User Interfaces in TMN Systems", PP. 110-119; Alcatel Alsthom Corporate Research Center, France; 1998 IEEE; 10 pages	
	CE	ISA/ European Patent Office, PCT Invitation to Pay Additional Fees for PCT/US03/05767, 07/25/2003, 5 pages.	
	CF	PGP Freeware for Windows 95, Windows 98, Windows NT, Windows 2000 & Windows Millennium, User's Guide Version 7.0, January 2001, Network Associates, 246 pages	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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